Docket No.

206333US0X PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

,#4

IN RE APPLICATION OF:

Masahiro KITAYAMA, et al.

SERIAL NO:

09/857,865

GAU:

1714

FILED:

June 12, 2001

EXAMINER:

FOR:

FLAME-RETARDANT POLYCARBONATE RESIN COMPOSITION AND ITS MOLDINGS

INFORMATION DISCLOSURE RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATEN

WASHINGTON, D.C. 20231

SIR

Applicant(s) wish to disclose the following has

REFERENCES

■ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

■ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Morman F. Oblon

Registration No.

24,618

Frederick D. Vastine Registration No. 27,013

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/98) RECT MAR 1: TC 1

LIST OF REFERENCES CITED BY AFFECANT Masahiro KITAYAMA, et al. APPLICANT Masahiro KITAYAMA, et al.	Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 206333US0X PCT			SERIAL NO. , 09/857,865			
SAMMER S	LIST OF REFERENCES CITED BY APPLICANTO				APPLICANT Masahiro KITAYAMA, et al.						
DOCUMENT NAME CLASS SUB FILING DATE			(0	2762	ILING DATE	June 12, 2001		GROUP	1714		
NITIAL NUMBER	Apr. 8										
AB AC AC AC AD AC			DOCUMENT NUMBER	MATTE			CLASS	SUB CLASS		 E	
AC AD		AA	6,174,944	01/16/01	CHIBA, et al.		1				
AD AE AF AG AG AH AI AI AJ AV BOUMBERT DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES TRANSLATION NO AP AQ AR AR AR AR AR AR AR AR AR		AB									
AE AF AG AG AH AI AJ AJ AK AL AM AN BOCUMENT FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS TRANSLATION NO AO AP AO AP AO AR AS AS AT AU AT AU OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Examiner Date Considered Date Considered Bate Considered Examiner Date Considered		AC									
AF AG AG AH AH AI							ļ				
AG AH AH AI AJ AJ AK AK AR AN BOCUMENT NUMBER AO AO AP AQ AR AR AR AR AR AR AR AR AR											
AH AI AI AI AI AK AL AM AN FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS TRANSLATION NO AO AP AQ AR AS AT AU OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AZ Examiner Date Considered Date Considered Date Considered Examiner Date Considered Date Considered							-				
AI AJ AJ AK AK AL AL AN											
AJ AK AK AL AM AM AN AN FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS COUNTRY TRANSLATION YES NO AO AP AP AQ AR AR AS AS AT AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Examiner Date Considered Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in				i			+				
AK AL AM AM AN				<u>. </u>							
AL AM AN FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY YES NO NO AO AP AP AQ AQ AR AR AS AS ATT AU AU AV AV AX AY AZ							1			_	
FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO AO AP AP AQ AS AS AT AT AU AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AX AY AX				<u> </u>			 			-	
FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY TRANSLATION YES NO AO AP AQ AR AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AM					 				
DOCUMENT NUMBER DATE COUNTRY TRANSLATION YES NO AO AP AP AQ AR AS AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609, Draw line through citation if not in		AN			-						
NUMBER AO AP AQ AR AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in				FO	REIGN PATENT	DOCUMENTS	•				
AP AQ AR AS AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in				DATE		COUNTRY					
AQ AR AS AT AU AV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY AZ Examiner Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AO									
AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AP				_					
AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AQ				900					
AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in						CA					
AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		_			//	MAR VE	`~			_	
AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in						2///2	0				
AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in						7>=				\dashv	
AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AV				'Un	<u> </u>		<u> </u>	\dashv	
AX AY AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in			OTHER REF	ERENCES (I	ncluding Author	, Title, Date, Pertinen	t Pages, e	tc.)		_	
AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AW									
AZ Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		АХ									
Examiner Date Considered Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AY					 				
Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		AZ									
	Examiner					Date Considered					
							09; Draw lir	ne through	citation if not in		

LIST OF RELATED CASES

Docket Number	Serial or Patent No.	Filing or Issue Date	Status or Patentee
2421-0342-0X	6,174,944	01/16/01	CHIBA, et al.
2421-0400-0X	09/457,744	12/10/99	PENDING
202334US0X PCT	09/744,248	01/30/01	PENDING
210091US0X	09/891,521	06/27/01	PENDING
210092US0X	09/891,293	06/27/01	PENDING
214711US0X PCT	09/926,640	11/28/01	PENDING
206333US0X PCT*	09/857,865	06/12/01	PENDING

^{*}Present application; listed for information.